

## Name Change / Correction Form

Use this form to correct any name discrepancy for an existing account/policy, new account/policy or when an account/policy being transferred from another financial institution is held in a former/incorrect name. A name discrepancy may include a spelling error, use of an initial in place of a full name, use of a "nickname" or a new name due to a legal name change.

### 1. Customer Information

Owner's Former/Incorrect First Name **(print)**    Owner's Former/Incorrect Last Name **(print)**    Last 4-digits of Owner's Social Security #  
*(as currently registered on the account)*

Customer Number(s) and Life Insurance Policy(ies)     Check Here if New Customer

### 2. Name Change / Correction Information

This certifies that the names listed below are representative of one and the same person:

**From:** \_\_\_\_\_  
 Owner's Former/Incorrect First Name **(print)**    Owner's Former/Incorrect Last Name **(print)**

**To:** \_\_\_\_\_  
 Owner's New/Correct First Name **(print)**    Owner's New/Correct Last Name **(print)** \*

\* Your account/policy will be updated to reflect the name you indicate as your New / Correct Name.

### 3. Required Documentation

**Attach a photocopy of the appropriate document that confirms the requested change.**  
*(Name change/correction cannot be processed without the appropriate document.)*

**Check Part A OR Part B below:**

- A.**  **Name Change:** (For example, changing a name from Mary "Smith" to Mary "Jones".)  
 Note, a legal name change may occur as a result of marriage, divorce, adoption or other reason confirmed in a court order.

Attach one of the following: (check one)

- Court Document     Marriage Certificate     Divorce Decree

- B.**  **Name Correction:** (For example, correcting a name misspelling such as "Mary" Smith to "Mari" Smith, or a nick name, such as "Peggy" Jones to "Margaret" Jones, or any other discrepancy other than a legal name change.)

Attach one of the following: (check one)

- U.S. Issued Driver's License     Passport     Birth Certificate     Other U.S. Issued ID

#### 4. Signature

**(Signature of New/Correct Name is required, along with either a Notary OR Signature Guarantee.)**

The undersigned requests that Foresters Financial Services, Inc. and its affiliates, change his/her name upon their records of his/her account(s)/ policy(ies) registered with his/her social security number.

Owner's New/Correct First Name (**print**)      Owner's New/Correct Last Name (**print**)

Signature of New / Correct Name      Date

**Provide either a Notary OR a Signature Guarantee below:**

*Note: If a certified copy of the document referenced in Section 3 is provided, a signature guarantee or notary is not required.*

#### Notary Public:

#### **OR**      Signature Guarantee:

#### **Notary Public:**

State of \_\_\_\_\_ County of \_\_\_\_\_

This instrument was acknowledged before me this \_\_\_\_\_ day of  
month \_\_\_\_\_, year \_\_\_\_\_, by

Affiant's Name (print) \_\_\_\_\_

Signature of Notary Public      Commission Expiration Date

(SEAL)

Name of Notary Public (typed, stamped, printed) \_\_\_\_\_

#### **Affix Medallion Signature Guarantee Here:**

Stamps qualified for a specific date and/or individual or altered in any manner, may not be accepted.

#### **I CERTIFY THAT ALL SIGNATURES THAT REQUIRE A SIGNATURE GUARANTEE ON THIS FORM ARE GENUINE.**

Reg. Rep # \_\_\_\_\_ Office # \_\_\_\_\_ Registered Representative's Name (print) \_\_\_\_\_ Registered Representative's Signature \_\_\_\_\_ Date \_\_\_\_\_

Principal # \_\_\_\_\_ Principal's Name (print) \_\_\_\_\_ Principal's Signature \_\_\_\_\_ Date \_\_\_\_\_

#### **Return by Regular Mail:**

Foresters Investor Services, Inc.  
P.O. Box 7837, Edison, NJ 08818-7837

#### **Return by Overnight Mail:**

Foresters Investor Services, Inc.  
Raritan Plaza I, 8th Floor, Edison, NJ 08837-3620

#### **For More Information:**

First Investors Funds  
800-423-4026 (Shareholder Services)  
www.foresters.com